



## List of Charges of Česká Spořitelna a.s. for bank businesses (hereafter List of Charges)

### Part XII. Services Relating to Securities Trading

#### Content:

1. Stock exchange trading and related services
2. Off exchange and foreign market trading
3. Open-end mutual fund unit trading
4. Asset management
5. Securities custody, management and depositing
6. Other capital market services
7. Securities portfolio management
8. Common and other items

### 1. Stock exchange trading and related services

Item	Text	Price
1.1.	<b>Conclusion of commission contract</b>	free of charge
1.2.	<b>Asset account at Univyc, a.s.</b>	
1.2.1.	account opening	50
1.2.2.	maintenance of securities in account	free of charge
1.3.	<b>Arrangement of STOCK purchase or sale</b>	
1.3.1.	AOS/KOBOS	
1.3.1.1.	over CZK 5,000,000	individually priced, maximum 0.3%
1.3.1.2.	CZK 1,000,000 to CZK 5,000,000 inclusive	0.4%
1.3.1.3.	CZK 500,000 to CZK 999,999 inclusive	0.6%
1.3.1.4.	CZK 0 to CZK 499,999 inclusive	0,8% minimum 300
1.3.2.	SPAD (1 lot)	0.2%, maximum 5,000 for every even partial lot
1.4.	<b>Purchase or sale of BONDS from the traded volume for every year up to the bond maturity (or for a proportionate part of the year)</b>	
1.4.1.	over CZK 5,000,000	0.2%, minimum 1,500
1.4.2.	CZK 1,000,000 to CZK 5,000,000 inclusive	0.3%, minimum 1,500
1.5.	<b>Right of disposal with security</b>	

1.5.1.	suspension of right (blocking) – per ISIN	20
1.5.2.	cancellation of right suspension (unblocking) – per ISIN	20
1.6.	<b>Other items</b>	
1.6.1.	sending of reports on effected and non-effected transactions	free of charge
1.6.2.	fee for suspended transaction caused by the client (for each day of suspension)	50
1.6.3.	fee for procurement of payment of proceeds from foreign securities registered at Univyc, a.s.	free of charge
1.6.4.	transfer of foreign securities traded on the PSE and recorded at Univyc, a.s. – among traders	400

## 2. Off exchange and foreign market trading

Item	Text	Price
2.1.	<b>Arrangement of STOCK purchase or sale</b>	0.5%, minimum EUR 100 + stamp fee in Switzerland and the U.K.
2.2.	<b>Arrangement of BOND purchase or sale</b>	0.2%
2.3.	<b>Transaction purchase/sale price</b>	
2.3.1.	transactions without payment	
2.3.1.1.	Germany , USA, Luxembourg, Belgium, Holland, Spain	2,500
2.3.1.2.	United Kingdom , Switzerland, Canada, France, Italy	2,700
2.3.1.3.	Denmark , Finland, Norway, Sweden	3,000
2.3.1.4.	Hungary , Poland	3,300
2.3.1.5.	Austria	1,500
2.3.1.6.	other countries	individually priced
2.3.2.	transactions against payment	
2.3.2.1.	Germany, USA, Luxembourg, Belgium, Holland, Spain	2,800
2.3.2.2.	United Kingdom , Switzerland, Canada, France, Italy	3,000
2.3.2.3.	Denmark , Finland, Norway, Sweden	3,500
2.3.2.4.	Hungary , Poland	3,800
2.3.2.5.	Austria	1,800
2.3.2.6.	other countries	individually priced
2.4.	<b>Securities management – monthly fee based on the portfolio market value at all times as of the last day of the month</b>	0.12%

### 3. Open-end mutual fund unit trading

Item	Text	Price
3.1.	<b>Share certificates purchase</b>	maximum fee *)
3.1.1.	Erste Group share funds (ISČS, REICO, ESPA)	
3.1.1.1.	money market funds Opatrný mix FF	0.30%
3.1.1.2.	Konzervativní mix FF bond funds	1.00%
3.1.1.3.	Vyvážený mix FF Dynamický mix FF	1.50%
3.1.1.4.	Life cycle funds	2.50%
3.1.1.5.	Equity funds Akciový Mix FF REICO property fund ČS Balancovaný fond	3.00%
3.1.1.6.	ESPA-ČS Zajištěné fondy OPF AR 0 private banking fund OPF AR 25 private banking fund OPF AR 50 private banking fund OPF AR 75 private banking fund	according to the fund's status
3.1.2.	third party funds **)	
3.1.2.1.	ABN AMRO, Credit Suisse, Fortis, Franklin Templeton, HSBC, Julius Baer, Parvest, WIOF	money market: 0.50%  bond market: 2.50%  equity market: 4.00%
3.1.2.2.	ING	money market: 0.50%  bond market: 2.50%  equity market: 3.00%
3.1.2.3.	hedged funds	according to the fund's status
3.2.	<b>Buy-back of units</b>	
3.2.1.	funds of the Erste financial group (except funds indicated in Items 3.2.2. and 3.2.4.)	free of charge
3.2.2.	ESPA-ČS Zajištěné fondy	based on sales information ***)
3.2.3.	third party funds	free of charge

3.2.4.	REICO property fund	3% for 3 years from the foundation of the fund (until 9.2.2010) *)
3.3.	<b>Transfer of fund units within one investment company</b>	
3.3.1.	ISČS funds	
3.3.1.1.	switching to a target fund with a higher sales fee	difference between sales fees of the subject funds ***)
3.3.1.2.	switching to a target fund with a lower or an equal sales fee	free of charge
3.3.2.	third party funds, including ESPA	difference between the switched category entry fees ***)
3.4.	<b>Investment Instrument Asset Account (MUIN)</b>	
3.4.1.	opening, maintenance, and closing	free of charge
3.4.2.	sending of Transaction Summary	free of charge
3.4.3.	sending of Portfolio Summary or Extract from Independent Investment Instrument Records	free of charge

\*) Fee applicable to newly invested funds. Entry and exit fees are paid by investment companies to Česká spořitelna, a.s. As regards client investing into mutual funds of Erste Bank Group under the Securities Management Agreement with Česká spořitelna, a.s., fees are agreed individually according to the clients' status.

\*\*) With third-party funds, the maximum fee is subject to the prospectus of the particular fund. Entry and exit fees are paid by investment companies to Česká spořitelna, a.s.

\*\*\*) Entry and exit fees are paid by investment companies to Česká spořitelna, a.s.

## 4. Asset management

Fees are determined on an individual basis

## 5. Securities custody, management and depositing

Item	Text	Price
5.1.	<b>Deposit account (securities account)</b>	
5.1.1.	deposit account opening and maintenance – annual fee	30
5.1.2.	collection of securities from the central deposit of the Stock Exchange Securities Register (does not directly relate to the settlement of a securities trading transaction)	500 per offering, maximum 1,000
5.1.3.	failure to collect the securities within a date specified in advance	0.5% per day from the total nominal value,

		minimum 100 per day
5.2.	<b>Securities custody</b>	
5.2.1.	for accepting securities into custody *)	
5.2.1.1.	bulk	to 0.5% from the total nominal value, however, at minimum 30 per securities offering (per ISIN) + 19% VAT
5.2.1.2.	separate	to 1.0% from the total nominal value, however, at minimum 100 per securities offering (per ISIN) + 19% VAT
5.2.2.	annual fee for the custody of securities**)	
5.2.2.1.	bulk	to 0.4% from the total nominal value, however, at minimum 30 per securities offering (per ISIN) + 19% VAT
5.2.2.2.	separate	to 0.8 % from the total nominal value, however, at minimum 100 per securities offering (per ISIN) + 19% VAT
5.3.	<b>Securities management</b>	
5.3.1.	for accepting securities into custody *)	to 0.6% from the total nominal value, however, at minimum 40 per securities offering (per ISIN)***)
5.3.2.	annual fee for the custody of securities**)	to 0.5% from the total nominal value,

		however, at minimum 40 per securities offering (per ISIN)***)
5.3.3.	for securing and arranging collection	to 0.3% from the total nominal value, however, at minimum 50 per securities offering (per ISIN)***)
5.4.	<b>Deposition of securities (custody associated with management)</b>	
5.4.1.	for accepting securities into deposition*)	
5.4.1.1.	bulk	to 0.8% from the total nominal value, however, minimum 50 per securities offering (per ISIN) + 19% VAT
5.4.1.2.	separate	to 1.5 % from the total nominal value, however, at minimum 100 per securities offering (per ISIN)
5.4.2.	annual deposition fee **)	
5.4.2.1.	bulk	to 0.6% from the total nominal value, however, at minimum 50 per securities offering (per ISIN) + 19% VAT
5.4.2.2.	separate	to 1.3% from the total nominal value, however, at minimum 100 per securities offering (per ISIN) + 19% VAT
5.4.3.	arrangement of security yields	

5.4.3.1.	bulk	to 3% from the yield, however, at minimum 20 per securities offering (per ISIN)
5.4.3.2.	separate	to 3% from the yield, however, at minimum 30 per securities offering (per ISIN)
5.4.4.	arrangement of security principal collection	
5.4.4.1.	bulk	to 0.5% from the total nominal value, however, at minimum 30 per securities offering (per ISIN)
5.4.4.2.	separate	to 1.0% from the total nominal value, however, at minimum 50 per securities offering (per ISIN)

\*) This fee applies to the period from the acceptance of securities until 31st December of the respective year.

\*\*) This fee applies to the period of the year following the acceptance of the securities as well as to successive years, at all times based on the state as at 31st December of the respective year.

\*\*\*) Where, pursuant to Section 36 and 37 of the Securities Act and Act No. 513/1991 Coll., the Commercial Code, an agreement on domestic (foreign) securities management and on securities transaction settlement stipulates separate fees for:

- arranging the collection of security yields, it shall be deemed as remuneration for a tax exempt activity;
- whereas for other activities, it shall be deemed as remuneration for taxable fulfilment subject to 19% VAT. If no separate fees for the arrangement of the collection of security yields and for other activities are specified by the agreement, the total fee for securities management stipulated by the Agreement on Securities Management shall be deemed as remuneration for performance, which is considered as a tax exempt activity.

## 6. Other capital market services

Item	Text	Price
6.1.	<b>Sending of asset account number (and/or more account numbers)</b>	50
6.2.	<b>Fee for the transfer of securities at the Prague Securities Centre</b>	100
6.3.	<b>Per unit certificate (only Bondinvest – open-end mutual fund)</b>	60

## 7. Securities portfolio management

For selected clients the fees are determined on an individual basis

## 8. Common and other items

Item	Text	Price
8.1.	<b>Direct sale of Česká spořitelna, a.s. equity securities</b>	0 up to 200
8.2.	<b>Replacement of specimen signature</b>	10

If services that are subject to VAT are provided to persons registered for VAT in another Member State or to a foreign individual liable to taxation, the tax liability (place of performance) lies outside the country and the fee therefore is not subject to VAT.